



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): HERMANN, et al.

Confirmation No.: 6950

Application No.: 09/816,940

Examiner: Not Yet Assigned

Filing Date: March 23, 2001

Group Art Unit: 2152

Title: METHOD, COMPUTER SYSTEM AND COMPUTER PROGRAM PRODUCT FOR  
MONITORING SERVICES OF AN INFORMATION TECHNOLOGY ENVIRONMENT

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

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Sir:

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This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e), and  
( ) a petition under 37 CFR 1.97(d)(2), and  
( ) a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

HERMANN, et al.

By

Paul D. Greeley

Attorney/Agent for Applicant(s)

Reg. No. 31,019

Date: November 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 5, 2001

Typed Name: Deirdre Curran

Signature: *Deirdre Curran*

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

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LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

20005778 US

APPLICATION NO.

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CONFIRMATION NO.

6950

APPLICANT

HERMANN, et al.

FILING DATE

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GROUP

2152

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	WO 00/72183	May 23,2000	Cabletron Systems, Inc.			X	
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Lewis, L.: "Spectrum Service Level Management Definition, Offerings and Strategy" Cabletron Technical Note, 30 March 1998  English
1R	Kubo, K, et al.: "A Method of Extracting Management Information of Service Management" NTT Review, 1 March 1998  English
1S	Lewis, L. et al.: "Incorporating Business Process Management into Network and Systems Management" PROCEEDINGS. ISADS. INTERNATIONAL SYMPOSIUM ON AUTONOMOUS DECENTRALIZED SYSTEMS, 4 April 1997 English

EXAMINER

DATE CONSIDERED

## PATENT APPLICATION

Sheet 2 of 2

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	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
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	2I				
	2J				
	2K				

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	2L						
	2M						
	2N						
	2O						
	2P						

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	European Search Report of European Patent Application No. 01 107 261.8 dated 21 September 2001.  English
2R	
2S	

EXAMINER

DATE CONSIDERED